

ORIGINAL PAPER



MLH1, BRAF and p53 – searching for significant markers to predict evolution towards adenocarcinoma in colonic sessile serrated lesions

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Abstract

Background and Aim: Colonic serrated lesions are premalignant lesions, using an alternative malignization pathway, including multiple genetic and epigenetic alterations, as: mismatch repair deficiency due to MutL homolog 1 (*MLH1*) promoter methylation, tumor protein p53 (*TP53*) mutations, activating mutations of v-Raf murine sarcoma viral oncogene homolog B (*BRAF*) and Kirsten rat sarcoma viral oncogene homolog (*KRAS*). Our study aims to evaluate *MLH1*, *BRAF* and p53 immunohistochemical (IHC) status in sessile serrated lesions (SSLs), with and without dysplasia. **Materials and Methods:** This is a retrospective case-control study including 20 SSLs with dysplasia and 20 SSLs without dysplasia (matching sex and age). IHC expression of *MLH1*, *BRAF* and p53 was evaluated as the percent of nuclear loss of *MLH1*, cytoplasmic positivity of *BRAF* and nuclear positivity of p53. Data concerning age, sex, localization of the lesion, dysplasia and IHC results were statistically processed using Microsoft Excel. **Results:** We had very polymorphous patterns of IHC expression for *BRAF*, *MLH1* and p53, especially in the dysplastic group. Thus, two patients were *BRAF*+/*MLH1*-/p53+, three were *BRAF*+/*MLH1*-/p53-, one was *BRAF*+/*MLH1*+/p53- and six were *BRAF*+/*MLH1*+/p53+. Dysplastic lesions without *BRAF* mutation exhibited the following phenotype: one case *BRAF*-/*MLH1*-/p53+, four *BRAF*-/*MLH1*-/p53- and three *BRAF*-/*MLH1*+/p53+. In the control group (SSLs without dysplasia), there was a more homogenous distribution of cases: eight cases *BRAF*+/*MLH1*+/p53-, seven *BRAF*-/*MLH1*+/p53-, one *BRAF*-/*MLH1*-/p53+, two *BRAF*-/*MLH1*-/p53- and two *BRAF*-/*MLH1*+/p53+. **Conclusions:** There are more routes on the serrated pathway, with different mutations and time of acquisition of each genetic or epigenetic lesion with the same morphological result. These lesions should be stratified according to their risk to poor outcome and their need to further surveillance.

Keywords: colonic adenocarcinoma, sessile serrated lesions, dysplasia, *BRAF*.

Introduction

Colonic serrated lesions, defined by epithelial proliferations with saw-tooth or stellate architecture, are one of the most challenging diagnoses in digestive pathology. They include from benign hyperplastic polyps (HPs) to dysplastic lesions with high potential of evolution towards invasive carcinoma (IC). From their inclusion in 2010 in *World Health Organization* (WHO) Classification of digestive tumors, many researchers offered their attention to serrated lesions of the large bowel, describing pathways of proliferation and evolution towards malignancy, information that led, in 2019, to a new classification [1–3]. Serrated colonic proliferative lesions are now classified by the WHO as HPs, sessile serrated lesions (SSLs) with or without dysplasia, traditional serrated adenomas (TSAs), and serrated adenoma, unclassified [2]. Some lesions, previously diagnosed as

HPs are, according to this classification, in fact, SSLs [1, 2, 4].

SSLs are, usually, asymptomatic lesions, frequently found incidentally, but some of them are rapidly progressive premalignant lesions, involved in up to 35% of colorectal carcinoma (CRC) [5]. From molecular point of view, SSLs share a distinct genotype with microsatellite instability (MSI), v-Raf murine sarcoma viral oncogene homolog B (*BRAF*) mutation and nuclear hypermethylation [2, 6].

From the pathologist point of view, the criteria to diagnose SSL have never been simpler: for SSLs without dysplasia – one single crypt with unequivocal serrated architecture: asymmetrical proliferation with horizontal growth along the *muscularis mucosae*, dilation of the basal third of the crypt and serrations present into the crypt base [2, 7, 8]. The cytological aspects vary from small basally located nuclei to occasional larger nuclei with inconspicuous nucleoli [2, 7].

Dysplasia in SSLs is a focal change that appears on the pathway to carcinoma. SSLs with dysplasia have complex crypt architecture (crowding, complex branching, cribriform or villous architecture) and cytological atypia with various patterns: intestinal dysplasia (resembling sporadic dysplasia or the dysplasia in conventional adenoma), serrated dysplasia (eosinophilic cytoplasm and round atypical nuclei, prominent nucleoli, and numerous mitoses [1, 2, 9, 10]. Liu *et al.* [9] described a new category of dysplasia in SSLs, called minimal deviation dysplasia, characterized by minimal cytological and architectural abnormalities, accompanied by loss of MutL homolog 1 (*MLH1*) expression. There still are a lot of controversies about the proper manner to report dysplasia (especially low grade) in SSLs and the importance of immunohistochemical (IHC) tests for reporting this feature [11] (Figure 1, a and b).

The serrated pathway to invasive malignancy is an alternative pathway to the more known and documented adenoma–carcinoma pathway, including multiple genetic and epigenetic alterations, the most frequent being mismatch repair deficiency due to *MLH1* promoter methylation, extensive methylation of various CpG islands [2, 12, 13], tumor protein p53 (*TP53*) mutations, activating mutations of *BRAF* and Kirsten rat sarcoma viral oncogene homolog (*KRAS*), mutations that involve WNT signaling pathway [2, 5].

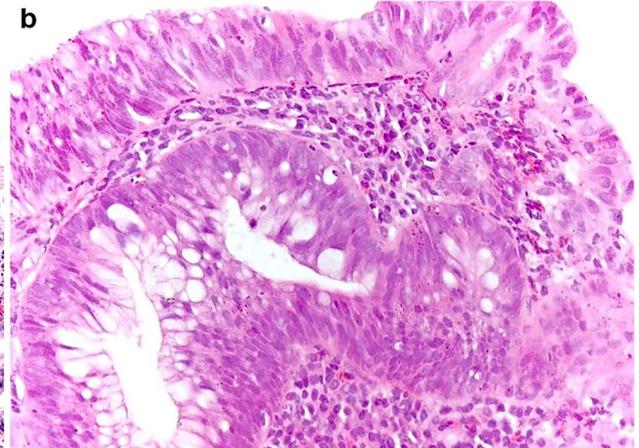
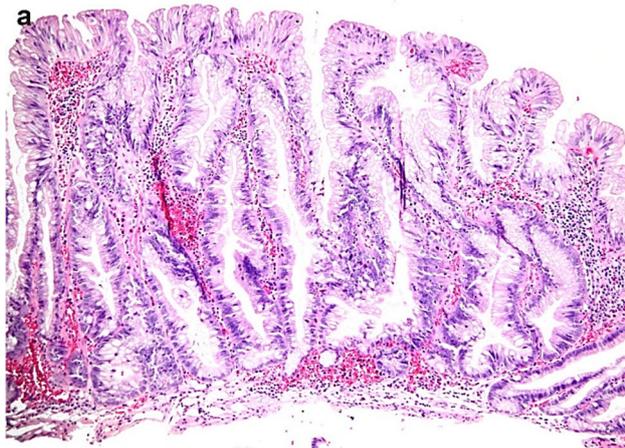


Figure 1 – SSLs with LGD. Note the branching crypts with dilated base (a) and the hyperchromatic nuclei with minimal atypia and pseudostratification (b). HE staining: (a) $\times 100$; (b) $\times 200$. HE: Hematoxylin–Eosin; LGD: Low-grade dysplasia; SSLs: Sessile serrated lesions.

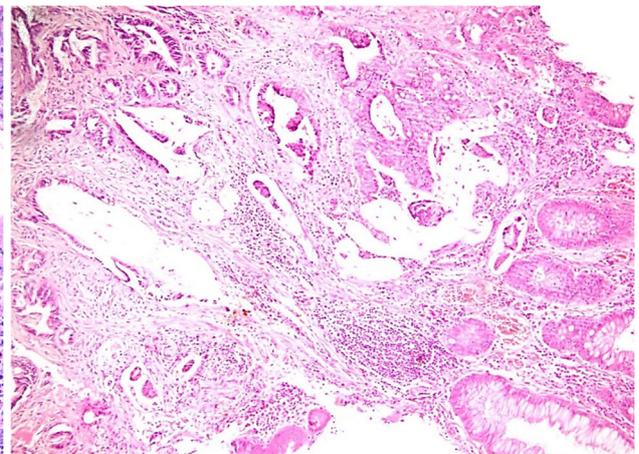
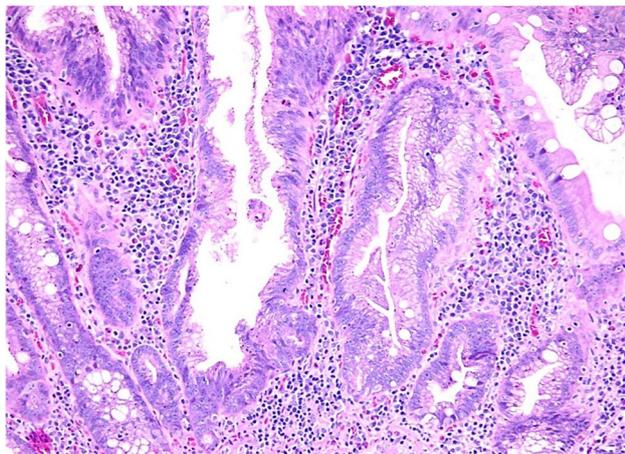


Figure 2 – SSL with HGD. Although architectural distortion is not severe, there are significant cellular atypia: large irregular nuclei with visible nucleoli and abnormal nuclear/cytoplasmic ratio. HE staining, $\times 200$. HGD: High-grade dysplasia.

Usually, the first genetic mutation involves mitogen-activated protein kinase (MAPK) pathway (*KRAS*, *BRAF*) [7, 14]. Next step in SSLs is hypermethylation of *MLH1* promoter, which is accompanied by the occurrence of dysplasia [9]. Activation of the WNT signaling pathway is frequently found in SSLs progression towards carcinoma, usually occurring later than in adenomas [15], usually through mutations of ring finger protein 43 (*RNF43*)–zinc and ring finger 3 (*ZNRF3*) complex [16].

Mutation of *p53*, found in different cancers including sporadic and polypoid CRCs, is also described in serrated lesions, although it is conspicuous and usually associated with *KRAS*-mutated lesions [17–19].

Adenomatous polyposis coli (*APC*) truncating mutations are rare and tardive in SSL and their role in carcinogenesis is unclear. They are found more frequently in *BRAF*-mutated lesions, but *APC* mutations seems to be missense and not involved in activation of the WNT signaling pathway [15, 18] (Figure 2).

Serrated adenocarcinoma, an entity first described by WHO in 2010 [1], is the final stage of serrated-neoplasia pathway. For its diagnosis, besides characteristic morphological features (saw-toothed architecture), the presence of an associated SSL or TSA is needed [20] (Figures 3 and 4).

Figure 3 – Invasive serrated carcinoma developed in a SSL with dysplasia (LGD and HGD) (left inferior corner). HE staining, $\times 100$.

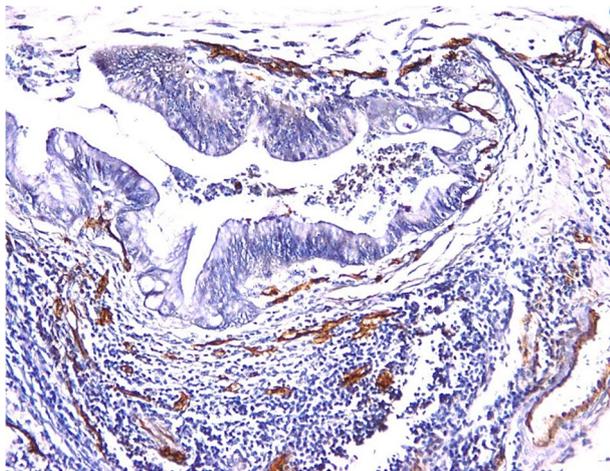


Figure 4 – Invasive serrated adenocarcinoma arising from a SSL with dysplasia. Anti-CD34 antibody immunostaining highlighting capillaries in the immediate vicinity of an invasive saw-toothed gland, $\times 200$. CD34: Cluster of differentiation 34.

Since the classification of colonic serrated lesions had been changed recently and there still are many unanswered questions about the serrated pathway of carcinogenesis [21], there is an important need to report molecular alteration and cellular phenotype in these lesions to have a complete and comprehensive characterization of the serrated pathway to CRC.

Aim

The aim of this study was to evaluate, using immunohistochemistry, MLH1, BRAF and p53 status in SSLs, presenting a comparison between SSLs without dysplasia and SSLs that harbor dysplasia or IC.

Materials and Methods

We designed a retrospective case-control study, including 20 consecutive SSLs with dysplasia (13 low-grade, five high-grade and two high-grade with invasive pT1 carcinoma). For each patient with dysplasia, we included a patient with SSL without dysplasia matching sex and age.

All lesions were colorectal SSLs (diagnosed according to 2019 WHO criteria), endoscopically resected and/or biopsied. Tissue samples were immediately immersed in 10% neutral buffered formalin, fixed for 6–24 hours, and then routinely processed using an automatic tissue-processor for paraffin-embedding. From every paraffin block, there were obtained at least four sections from two different levels for routine Hematoxylin–Eosin (HE) staining and supplemental sections for IHC assays (MLH1, BRAF and p53) (Table 1).

Table 1 – Immunohistochemistry data

Primary antibody	Clone	Host	Pretreatment	Dilution
MLH1	E505	Mouse	Citrate	RTU-BOND
BRAF	VE1	Mouse	EDTA	2/200
p53	D07	Mouse	Citrate	1/200

BRAF: v-Raf murine sarcoma viral oncogene homolog B; EDTA: Ethylenediaminetetraacetic acid; MLH1: MutL homolog 1; RTU: Ready-to-use.

Immunostaining of MLH1, BRAF and p53 was done on all 40 cases utilizing constant protocols and timings, on a Leica Bond Max automated immunostainer (Leica Biosystems, IL, USA), and Novocastra diagnostic-certified primary antibodies and detection kits (Leica Biosystems). Basically, after blocking the endogenous peroxidase and the unspecific antigenic sites, the tissue was incubated for one hour with the primary antibodies, then thoroughly washed, and the signal amplified with a species-specific Bond Polymer Refine detection kit. The signal was visualized with 3,3'-Diaminobenzidine (DAB), slides were counterstained with Hematoxylin, and finally coverslipped with a xylene-based medium (Micromount, Leica Biosystems).

Images have then been captured on a Nikon 80i automated microscope, equipped with a 5 MP CCD camera, and the Nikon NIS-Elements software, and allowed us to evaluate the percent of nuclear loss of MLH1, cytoplasmic positivity of BRAF and nuclear positivity of p53. Samples with over 50% of the tumoral nuclei negative for MLH1 were considered as microsatellite instable, the rest were considered microsatellite stable (MSS). Also, there were considered BRAF-mutated samples with >50% of the cells positive for BRAF. For p53, we evaluated the percent of nuclear positivity in both dysplastic and non-dysplastic crypts ($\geq 10\%$ – mutated).

Data concerning age, sex, localization of the lesion, dysplasia and IHC results were statistically processed using Microsoft Excel. A Fisher's two-tailed test was performed to evaluate the homogeneity of binary responses grouped as contingency tables, $p < 0.05$ was considered statistically significant in all cases.

The study has been approved by the Ethics Committee of Carol Davila University of Medicine and Pharmacy, Bucharest, Romania.

Results

SSLs with low-grade dysplasia (LGD) were predominantly located in the right colon, while those with high-grade dysplasia (HGD) and IC on the left colon and rectum (Figure 5). In the control group (without dysplasia), SSLs were more frequently located in the right colon, and in fact accounted for more than half of the cases for both the right and left colon areas, while clearly representing less than half of the lesions for the rectum and being completely unaccounted for in the cecum and transverse colon.

The median age in the dysplastic group was of 64.45 years (age range between 43 and 78 years), while the HGD +/- IC group showed a median age of 62.46 years (age range between 55 and 78 years) (Figure 6). Although the second group showed a small reduction in the age of the patients, the difference did not attain statistical significance (t test, $p = 0.1893$).

Patients with LGD were 10 men and three women, while patients with HGD +/- IC were three women and four men, thus the male/female ratio showed a three folds higher preponderance of men for the LGD group (3.333) compared to the HGD +/- IC group (1.333).

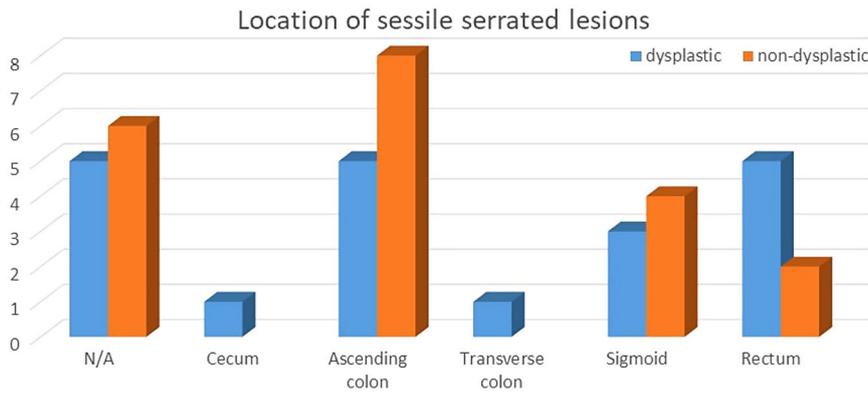


Figure 5 – Distribution of SSLs in case-group (dysplastic lesions) and in control group (non-dysplastic lesions). N/A: Not available.

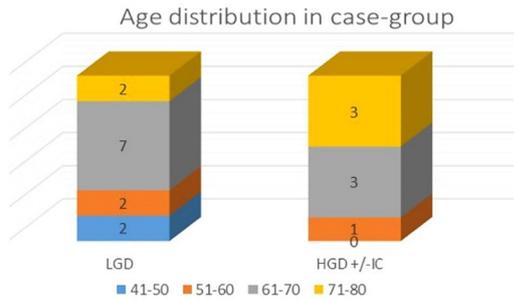


Figure 6 – Age distribution of patients with dysplastic lesions. IC: Invasive carcinoma.

BRAF positivity was found in over 50% of the cells in eight out of 13 cases of LGD and in four out seven cases with HGD +/- IC (Figures 7 and 8). In the control group, only eight out 20 cases had BRAF positivity in over 50% of the cells, and the overall proportions of reactivity differences could not be deemed significantly different between groups (Fisher’s two-tailed test, $p=0.3431$). Although plotting the data revealed a clear inverse proportion for BRAF positive/negative ratio in control *versus* both pathological entities, the relatively small number of cases, especially for the two pathological groups, would explain the fail to attain statistical significance.

Figure 7 – Mutation of BRAF is becoming increasingly frequent as the degree of dysplasia is rising. BRAF: v-Raf murine sarcoma viral oncogene homolog B.

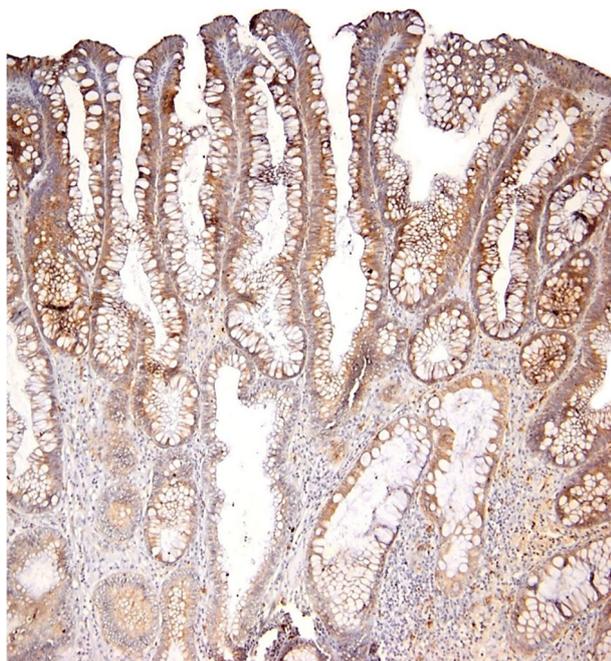
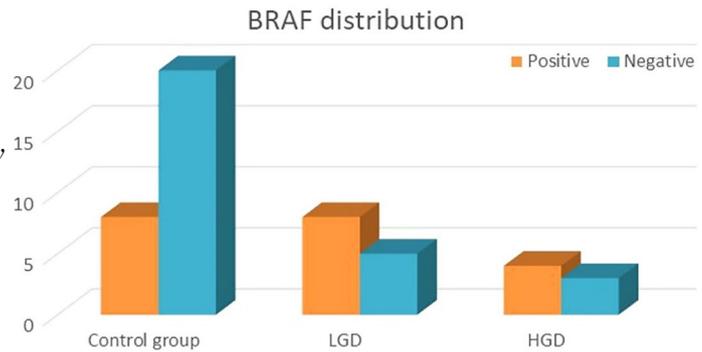


Figure 8 – Anti-BRAF antibody immunostaining revealing intense positivity in epithelial cells in a LGD SSL, $\times 100$.

Only three patients from the control group had MutS homolog 1 (MSH1) loss (MSI) (Figure 9; Figure 10, a and b), while in the case-group 10 patients had loss of MLH1 expression (six out seven cases with HGD +/- IC and four patients with LGD). Thus, there was a clear-cut gradual increase in the lost/preserved ratio from control to LGD and HGD +/- IC groups, and the ratios showed a statistically significant difference between the three groups (Fisher’s two-tailed test, $p=0.0407$).

p53 aberrant expression was noted in three cases without dysplasia and in 12 cases with dysplasia (without any correlation with the severity of dysplasia) (Figures 11 and 12). Since LGD cases showed a much more predominant positive/negative ratio, the overall inter-group differences were highly significant (Fisher’s two-tailed test, $p=0.0079$).

All BRAF-mutated SSL without dysplasia were MSS, while in the dysplastic group seven out of 12 BRAF-mutated cases were MSS (Figure 13).

Practically, we had a very polymorphous pattern of IHC expression of BRAF, MLH1 and p53, especially in the dysplastic group. Thus, two patients were BRAF+/MSI/p53+ (with LGD), three were BRAF+/MSI/p53- (all with HGD +/- IC), one patient was BRAF+/MSS/p53- (with LGD) and six patients were BRAF+/MSS/p53+ (five with LGD and one with HGD).

Figure 9 – MLH1 loss revealed in all SSLs, with a strong tendency to dysplastic lesions. MLH1: MutL homolog 1.

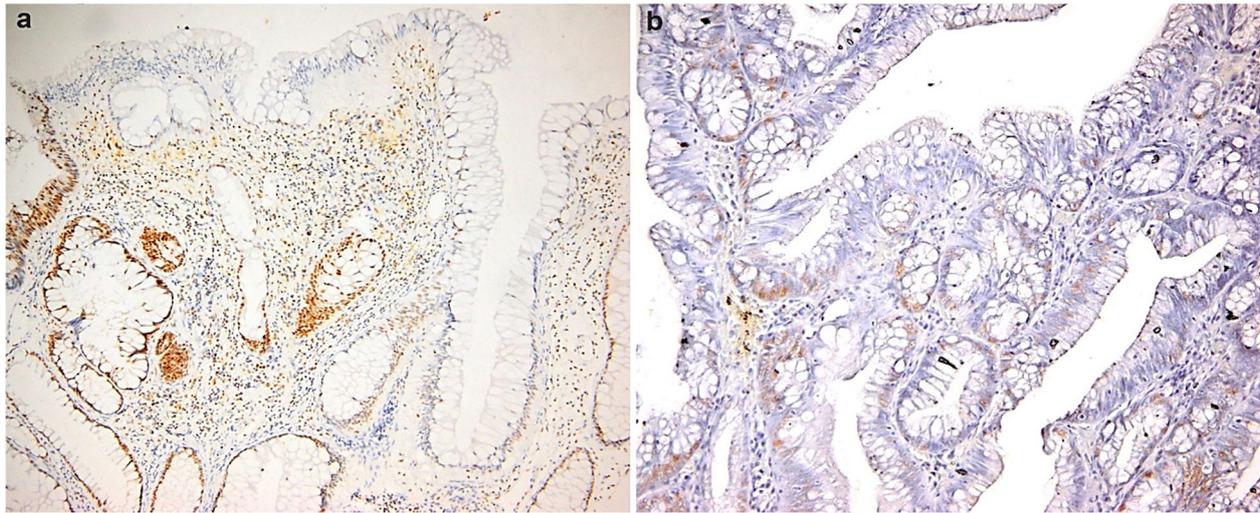
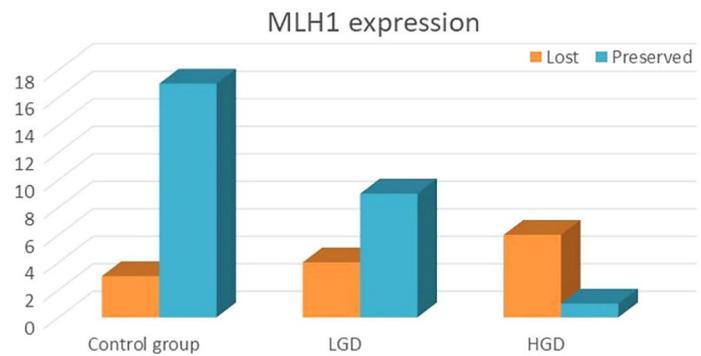


Figure 10 – MLH1 loss in a non-dysplastic SSL (a) and in a HGD SSL (b). There is a higher percent of negative cells in the dysplastic lesion. Anti-MLH1 antibody immunostaining: (a) $\times 100$; (b) $\times 200$.

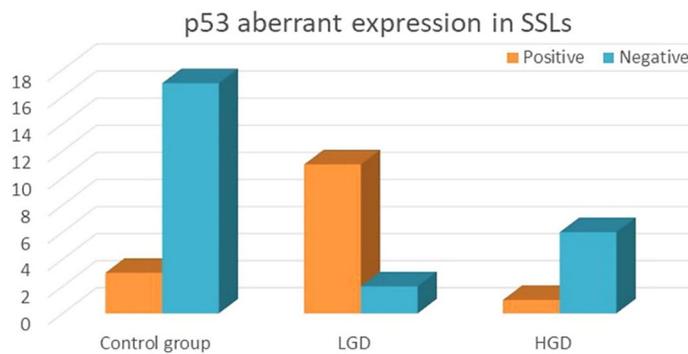


Figure 11 – Mutation of p53 significantly more frequent in HGD SSLs.

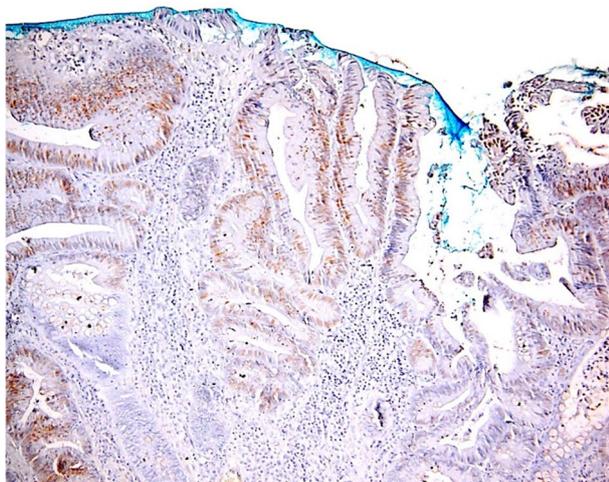


Figure 12 – p53 aberrant immunoeexpression in a SSL with HGD. Anti-p53 antibody immunostaining, $\times 40$.

Also, dysplastic lesions without *BRAF* mutation exhibited the following phenotype: one case *BRAF*⁻/*MSI*⁺/*p53*⁺ (with HGD + IC), four cases *BRAF*⁻/*MSI*⁻/*p53*⁻ (three with LGD and one with HGD) and three cases *BRAF*⁻/*MSS*⁺/*p53*⁺ (two with LGD and one with HGD).

In the control group (SSLs without dysplasia), IHC assays revealed a much more homogenous distribution of cases: eight cases were *BRAF*⁺/*MSS*⁻/*p53*⁻, seven cases were *BRAF*⁻/*MSS*⁻/*p53*⁻, one case was *BRAF*⁻/*MSI*⁺/*p53*⁺, two cases were *BRAF*⁻/*MSI*⁻/*p53*⁻ and two were *BRAF*⁻/*MSS*⁺/*p53*⁺ (Figure 14).

In conclusion, in the non-dysplastic group, all *BRAF*-mutated lesions were *MSS* and *p53* negative. Also, most *BRAF*-negative lesions had the same features. Interestingly, patients with dysplasia did not exhibit this pattern of immunostaining (just one case *BRAF*⁺/*MSS*⁻/*p53*⁻), probably because they have more mutation in epithelial cells.

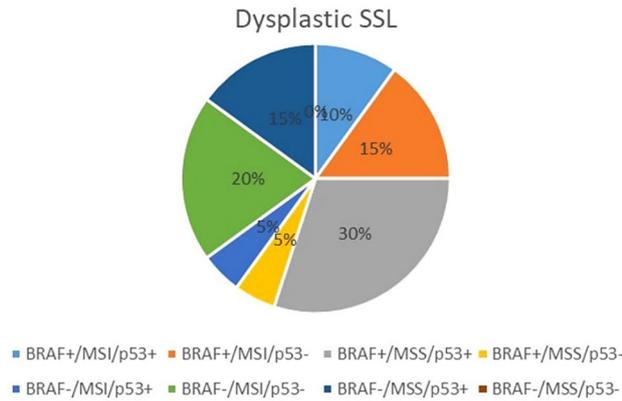


Figure 13 – High polymorphism of immunophenotype in dysplastic SSLs. MSI: Microsatellite instability; MSS: Microsatellite stable.

Discussions

For gastroenterologists, SSLs, especially dysplastic ones, are considered as “triple jeopardy” precursors for interval colorectal adenocarcinomas (diagnosed in the surveillance period after complete colonoscopy) [22] due to their rapid progression, difficult endoscopically identification and resection and the high incidence of incomplete resection. Nevertheless, pathologists also have their jeopardy to add misdiagnosis, due to the very rapidly changing definitions in this domain. To get a deeper knowledge of how SSLs pathway works, we studied 40 lesions (with and without dysplasia, matched in 20 case-control pairs) and reported their heterogeneous IHC phenotypes. We have chosen p53 because it is used largely to aid the diagnosis of dysplasia in colorectal lesions, and MLH1 and BRAF since they are specifically involved in serrated pathway of carcinogenesis and, also, can be used as prognosis tools in CRC [23].

Our study confirms the preference SSLs have for the right, proximal colon [24], but raised an important problem: lesions in the distal colon and rectum were more advanced. It is very important for endoscopists to know these characteristics, since serrated lesions are frequently small, flat lesions, with Kudo unspecific appearance, difficult to identify and assess endoscopically and usually incompletely resected [25, 26]. There is a continuous search for improving endoscopic diagnosis and management of SSLs with or without dysplasia and emerging data are important steps towards a successful protocol for patients with SSLs [27–29].

Age is not very significant in SSLs, patients are, usually in their 5th or 6th decade of life. In our study, we matched patients according to their age and sex, so there are no differences to analyze between case and control groups. Although, inside the case-group (patients with dysplasia) there is no significant differences between median age of patients with LGD and patients with HGD, confirming the observation that evolution towards invasive neoplasia on the serrated pathway is usually rapid. This is a significant difference of biological behavior comparing to adenomatous pathway, in which evolution from adenoma to IC is slow and patients with high levels of dysplasia are older than patients with LGD lesions [18, 21, 30]. This observation

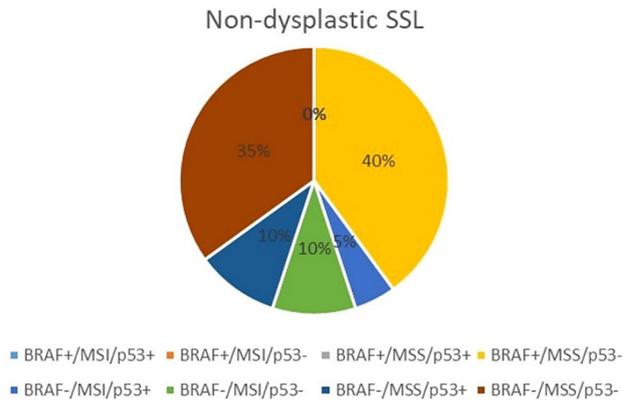


Figure 14 – Mild polymorphism of immunophenotype in non-dysplastic SSLs.

may modify the surveillance schedule for patients with microvesicular HPs or previous diagnosed SSLs.

Males increased prevalence of SSLs is foreseeable since CRC and all its premalignant lesions are more likely found in males [31, 32]. Although a small study, our research confirms this prevalence, in the case-group being included 14 men and six women. Although not statistically significant (t test, $p=0.4258$), median age for men was smaller than median age for women in the case-group (63.36 years vs 67 years), confirming the fact that CRC affects younger men [33].

BRAF mutation is a precocious event on the serrated pathway [34], being associated by various studies with an increased aggressiveness and rapid evolution. In our case-group, more than half of the patients had *BRAF*-mutated lesions (12 out of 20). Moreover, *BRAF* expression was found also in SSLs without dysplasia (eight out of 20), practically similar with the incidence of *BRAF* mutation in LGD group, which raise an alarm about the possibility of rapid progression to carcinoma of although bland-looking, non-alarming lesions. In the previous mentioned context of frequent incomplete resection of SSLs, this observation raises the idea that *BRAF* immunostaining can be useful for further therapeutical decision in incompletely resected SSLs, with or without dysplasia. A further study for identifying the relationship of *BRAF* and *KRAS* mutations is needed, *KRAS* being an alternative mutation to activate MAPK pathway [14, 35, 36].

MSI by hypermethylation of *MLH1* promoter is a frequent, but somehow later step in serrated carcinogenesis. In our study, there is a significant difference between the case-groups and the control-one. *MLH1* loss is much more frequent in the dysplastic SSLs included, confirming the fact that acquisition of this mutation is the hallmark of visible dysplasia [9, 11]. Still, three cases from the control group exhibited *MLH1* loss indicating that, at least in some cases, *MLH1* loss precedes histologically dysplasia, and some lesions are more evolved than others on the pathway to malignancy [37]. Also, interesting is the observation that half of dysplastic SSLs are, still, MSS (no *MLH1* loss). Kane *et al.* recently described a subgroup of serrated CRCs *BRAF*-mutated and MSS with a dismal prognosis [38]. In our study, seven out of 10 MSS dysplastic SSLs were *BRAF*-mutated. For these lesions, it was described

an alternative pathway of carcinogenesis involving WNT/ β -catenin signaling path and transforming growth factor-beta (TGF- β) upregulation [16, 38, 39].

The most significant difference between the two groups is the presence of p53 mutation, indicating the fact it is a late mutation on the carcinogenesis pathway, p53-mutated lesions being more probable the ones which will rapidly evolve towards invasive and metastatic carcinoma. Some studies observed a strong inverse correlation between *TP53* mutation and MSI phenotype in CRC [40, 41]. In this study, 11 out of 27 lesions with MSS harbored a *TP53* mutation, whereas only four out of 13 MSI lesions were p53 mutated (weak correlation, not statistically significant). There is also a correlation between p53 and *BRAF* mutation, especially in dysplastic SSLs (eight out of 12 *BRAF*-mutated had p53 mutation), and while in non-dysplasia group only three out of eight *BRAF*-mutated lesions harbored p53 mutation.

An observation about the control group showed that all *BRAF*-mutated SSLs without dysplasia were MSS. It is interesting to know if the evolving lesions in this group will suffer further mutations or if these lesions are going to evolve towards a *BRAF*-mutated MSS carcinoma, entity that has, usually, as precursor, a TSA [42].

In the end, the most interesting and intriguing observation of our study is the polymorphism of mutations in SSLs. Although this is a small study, including only 40 lesions and evaluating IHC expression of only three mutation-related markers, we had a very interesting distribution of cases. Practically, there are eight possible combinations (four *BRAF*-mutated: *BRAF*+/*MSI*/p53+, *BRAF*+/*MSI*/p53-, *BRAF*+/*MSS*/p53+, *BRAF*+/*MSS*/p53- and four *BRAF* negative: *BRAF*-/*MSI*/p53+, *BRAF*-/*MSI*/p53-, *BRAF*-/*MSS*/p53+, *BRAF*-/*MSS*/p53-) and dysplastic SSLs were distributed in seven categories (2; 3; 6; 1; 1; 4; 3; 0). Non-dysplastic SSLs were less polymorphous, being restrained in five categories (0; 0; 0; 8; 1; 2; 2; 7). This observation is interesting for diagnosis, classification, and treatment [42, 43].

☒ Conclusions

Our study raises the idea that there are more routes on the serrated pathway, with different mutations and time of acquisition of each genetic or epigenetic lesion with the same morphological result. Probably these lesions have a different biological behavior and should be stratified according to their risk to poor outcome and their need to further surveillance. Also, some of these mutations are therapeutic targets (*BRAF*, *KRAS*), potentially useful for treatment of malignant serrated lesions. Although there are a lot of recent data in literature about serrated lesions and their evolution towards malignancy, still there are controversies and questions about the real malignant potential of each serrated lesions and the appropriate clinical management of these patients. Emerging data suggest that IHC studies are necessary to obtain surveillance guides based on strong medical proofs.

☒ Conflict of interests

None of the authors have no conflict of interests to disclose.

Ethics approval

Colentina University Hospital's Ethics Committee approved this research. The research complied with acceptable international standards mentioned in the Declaration of Helsinki.

Patient consent

All included patients signed and informed consent, permitting the use of their data to research.

Data availability

All data are available on request.

Authors' contribution

Diana Răduță & Octavian Marius Dincă are first authors in equal proportion.

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